

07-19 201: JC03 Re PCT/PTO \$ PCT 8 JUL 2001

FORM PTO-1390 (REV. 1-98) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 10287.45
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/889762</b>	INTERNATIONAL APPLICATION NO. PCT/JP00/00204	PRIORITY DATE CLAIMED 18 JANUARY 1999
INTERNATIONAL FILING DATE 18 JANUARY 2000		
TITLE OF INVENTION CONCENTRATION DEVICE USING MAGNETIC PARTICLES AND METHOD THEREFOR		
APPLICANT(S) FOR DO/EO/US Hideji Tajima		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNEXECUTED</p> <p>10. <input type="checkbox"/> A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 16. below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: Copy of International Search Report w/cited references (Japanese &amp; English language); Copy of Written Opinion (Japanese language); Copy of Article 34 Amendment (Japanese &amp; US language); Copy of Preliminary Examination Report (Japanese language); Postcard; Express Mail Certificate; and Transmittal</p>		

APPLICATION NO. (if known) (37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

09/889762

PCT/JP00/00204

10287.45

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

## CALCULATIONS PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	24 - 20 =	4	x \$18	\$ 72.00
Independent claims	4 - 3 =	1	x \$78	\$ 78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260	\$ 260.00

TOTAL OF ABOVE CALCULATIONS = \$ 1380.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28). +

\$ 690.00

SUBTOTAL =

\$ 690.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☒ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 130.00

TOTAL NATIONAL FEE =

\$ 820.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 0.00

TOTAL FEES ENCLOSED =

\$ 820.00

Amount to be  
refunded: \$

charged: \$

- a. ☒ A check in the amount of \$ 820.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 08-1394. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

WARREN B. KICE  
Haynes and Boone, L. L. P.  
3100 NationsBank Plaza  
901 Main Street  
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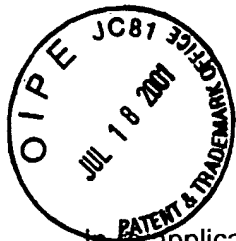
SIGNATURE

WARREN B. KICE

NAME

22,732

REGISTRATION NUMBER



09/889762  
JC18 Rec'd PCT/PTO 1 8 JUL 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Hideji Tajima

Serial No.: United States National Phase  
of PCT/JP00/00204

Filed: Herewith

For: CONCENTRATION DEVICE USING  
MAGNETIC PARTICLES AND METHOD  
THEREFOR

Attention: DO/EO/US  
Commissioner For Patents  
Washington, D.C. 20231

§  
§ Attorney Docket No.: 10287.45  
§  
§ I. A. Filing Date: January 18, 2000  
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§ Priority Date: January 18, 1999  
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§

EXPRESS MAIL CERTIFICATE

Express Mail Number: EL828064515US

Date of Deposit: July 18, 2001

I hereby certify that the following papers and fee are attached: Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 34 U.S.C. 371; Preliminary Amendment; Copy of PCT Request Form 101 w/specification, abstract, claims, and five sheets of drawings for originally filed priority International Application No. PCT/JP00/00204 (Japanese); English translation of originally filed priority International Application No. PCT/JP00/00204; Copy of International Search Report (Japanese & English) w/prior art documents; Copy of Written Opinion (Japanese language); Copy of Article 34 Amendment (Japanese & US language); Copy of International Preliminary Examination Report (Japanese language); unexecuted Declaration/Power of Attorney; check \$820.00; and a Return Postcard are being deposited with United States Postal Service "Express Mail Post Office to addressee" to the Commissioner for Patents, Washington, D. C. 20231.

**SANDRA KUBIN**

Typed or Printed Name

Sandra Kubin

Signature

July 18, 2001

Date

d-918552.1